

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL FORM



In re Application of: O'Lenick, et al.

Serial No:

Filed:

Ref:

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir;

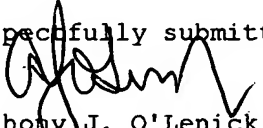
Please find enclosed the following components of an
information disclosure statement:

1. PTO list of patents cited
2. Discussion under rule 98
3. Cited patents as follows;

5,786,389

Applicant respectfully contends that this package conforms to the
provisions of 37 C.F.R. 1.98 and 37 C.F.R. 1.97. Applicant
respectfully requests that the information contained herein be
considered by the examiner in charge of the case.

Respectfully submitted;


Anthony J. O'Lenick, Jr.
Applicant
November 5, 2001
(678) 442-0210

Discussion Under Rule 98

U.S. Patent 5,786,389 issued Jul. 28, 1998 discloses castor oil esters that are not phosphated. It demonstrates the state of the art, in that the compounds described are oil phases and without the phosphate group of the present invention are not water dispersible and consequently are not dispersants.

Respectfully submitted;

A handwritten signature in black ink, appearing to read "A. O'Lenick, Jr.", written in a cursive style.

Anthony J. O'Lenick, Jr.
Applicant
May 31, 20001
(678) 442-0210